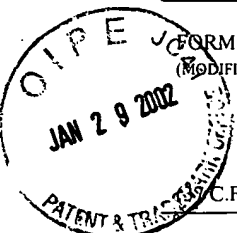


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ATTY. DOCKET NO.  
53087-5002

SERIAL NO.  
09/841,732

INFORMATION DISCLOSURE  
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(Use several sheets if necessary)

APPLICANT  
Gunter, et al.

FILING DATE  
April 25, 2001

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35 C.F.R. § 1.98(b))

### U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A	6	2	1	6	1	1	6	04/10/01	Barkan, et al.	705	76	
	B	5	6	5	9	6	1	6	08/19/97	Sudia	380	23	
	C	5	9	0	3	8	8	2	05/11/99	Asay et al.	705	44	
	D	5	9	4	3	4	2	3	08/24/99	Muftic	380	25	
	E	5	9	7	8	4	8	4	11/02/99	Apperson et al.	380	25	
	F	5	9	6	0	0	8	5	09/28/99	De la Huerga	380	25	
	G	6	0	0	3	0	1	4	12/14/99	Lee et al.	705	13	
	H	5	9	0	1	2	8	4	05/04/99	Hamdy-Swink	395	186	
	I	5	8	7	2	8	4	8	02/16/99	Romney et al.	380	25	
	J	5	7	8	4	4	6	3	07/21/98	Chen et al.	380	21	
	K	5	7	6	1	3	0	9	06/02/98	Ohashi et al.	380	25	

### FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER							PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
													YES	NO

### OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

	L	Blaze, et al., "THE KEYNOTE TRUST-MANAGEMENT SYSTEM VERSION 2", Internet Society, September 1999, pp. 1-32.	✓
	M	Gunter, et al., "POLICY-DIRECTED CERTIFICATE RETRIEVAL", Software-Practice & Experience, September 21, 2000, Vol. 30, pp. 1609-1640.	✓
	N	Gunter, et al., "GENERALIZED CERTIFICATE REVOCATION", ACM Symposium On Principles Of Programming Languages, January 2000, pp. 1-14.	✓

EXAMINER	DATE CONSIDERED
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### U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>BP</i>	O	5	7	5	7	9	2	0	05/26/98	Misra et al.	380	25	
	P	5	7	5	4	6	5	4	05/19/98	Hiroya et al.	380	24	
	Q	5	6	9	4	4	7	1	12/02/97	Chen et al.	380	25	
	R	5	4	1	2	7	1	7	05/02/95	Fischer	380	4	
	S	5	3	3	9	4	0	3	08/16/94	Parker	395	425	
	T	5	2	6	1	0	0	2	11/09/93	Perlman et al.	380	30	
	U	5	3	1	5	6	5	7	05/24/94	Abadi et al.	380	25	
	V	5	2	1	4	7	0	2	05/25/93	Fischer	380	30	
	W	4	8	6	8	8	7	7	09/19/89	Fischer	380	25	
<i>BP</i>	X	4	8	1	6	6	5	5	03/28/89	Musyck et al.	235	380	
	Y	5	6	1	5	2	6	8	03/25/97	Bisbee et al.	380	25	

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													YES	NO

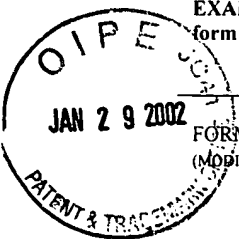
### OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

<i>BP</i>	Z	Kakkar, et al., "CERTIFICATE DISTRIBUTION WITH LOCAL AUTONOMY", International Workshop on Active Networks, October 2000, pp. 1-19.	✓
<i>BP</i>	AA	Blaze, et al., "DECENTRALIZED TRUST MANAGEMENT", Proc. IEEE Conference on Security and Privacy, May 1996, pp. 1-23	✓
<i>BP</i>	BB	Herzberg, et al., "ACCESS CONTROL MEETS PUBLIC KEY INFRASTRUCTURE, OR: ASSIGNING ROLES TO STRANGERS", pp. 1-13.	✓

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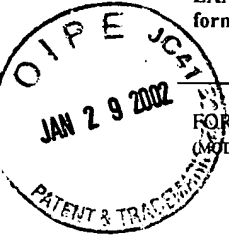
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[illegible][illegible]

HH	Rivest, et al., "SDSI - A SIMPLE DISTRIBUTED SECURITY INFRASTRUCTURE", April 30, 1996, pp. 1-37.
II	C. Ellison, "SPKI REQUIREMENTS", The Internet Society, September 1999, pp. 1-14.
JJ	Ellison, et al., "SPKI CERTIFICATE THEORY", The Internet Society, September 1999, pp. 1-43.

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## U.S. PATENT DOCUMENTS

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													YES	NO

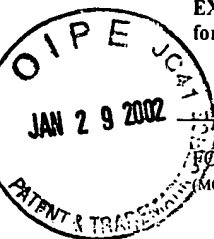
**OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)**

KK	Muller, "BLUETOOTH SECURITY ARCHITECTURE", Bluetooth White Paper, July 15, 1999, pp. 1-33.
LL	Mettala, "BLUETOOTH PROTOCOL ARCHITECTURE", Bluetooth White Paper, September 29, 1999, pp. 1-20.
MM	Maywah, "AN IMPLEMENTATION OF A SECURE WEB CLIENT USING SPKI/SDSI CERTIFICATES", S.B. Massachusetts Institute of Technology, May 2000, pp. 1-76.
NN	Dan Backman, "SMARTCARDS: THE INTELLIGENT WAY TO SECURITY", Network Computing, May 15, 1998, pp. 170-171.

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## U.S. PATENT DOCUMENTS

[illegible]**FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION**[illegible]

**OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)**

AA	OO	Ellison, <a href="http://world.std.com/~cme/">http://world.std.com/~cme/</a> , July 21, 2001, pp. 1-3	✓
BB	PP	IEEE, <a href="http://www.ieee.org">www.ieee.org</a> , 13 pages	✓
CC	QQ	Infrared Data Association, <a href="http://www.irda.org">www.irda.org</a> , 93 pages	✓

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**Complete if Known**

Application Number	09/841,732	REC	
Filing Date	04/25/01		
First Named Inventor	Gunter		
Group Art Unit	2131		MAY
Examiner Name			
Attorney Docket Number	53087-500	Technolog	

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Sheet	1	of	1
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Attorney Docket Number 53087-500 Technology Center 2100

#### OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

SWIFT PRIOR ART - NON PATENT LITERATURE DOCUMENTS			T2
Examiner Initials	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	
RP	A	Microsoft .Net Passport Review Guide, "THE WAY, WHAT AND HOW OF MICROSOFT .NET PASSPORT", Published: March 2002, pp. 1-50.	
RP	B	Net Passport OVERVIEW: 13/ <a href="http://www.microsoft.com/my services/passport/overview.asp">http://www.microsoft.com/my services/passport/overview.asp</a> pp.1-7 21/ <a href="http://www.microsoft.com/my services/passport/overview.aspx">http://www.microsoft.com/my services/passport/overview.aspx</a> 1	

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ATTY. DOCKET NO.  
053087-5002

SERIAL NO.  
09/841,732

INFORMATION DISCLOSURE  
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(37 C.F.R. § 1.98(b))

APPLICANT  
Gunter et al.

FILING DATE  
April 25, 2001

GROUP

U.S. PATENT DOCUMENTS

EXAMINE R INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AP	A	6	2	1	2	6	3	4	04/03/01	Greer, Jr et al			
	B	5	5	3	0	2	3	5	06/25/96	Stefik et al.			
	C	6	4	4	6	2	5	3	09/03/02	Mellmer			
	D	5	5	8	3	9	9	3	12/10/96	Foster et al.			
	E	6	3	9	3	5	6	5	05/21/02	Lockhart et al.			
	F	6	4	3	8	6	0	0	08/20/02	Greenfield et al.			
	G	5	5	7	7	1	2	0	11/19/96	Penzias			
	H	5	2	2	0	6	0	4	06/15/93	Gasser et al.			
	I	6	2	8	2	1	8	3	08/28/01	Harris et al.			
	J	0	0	0	7	3	1	7	01/17/02	Callagahn et al.			
	K	0	1	2	8	9	0	3	09/12/02	Kernahan			
AP	L	0	0	3	2	6	2	6	03/14/02	DeWolf et al.			

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FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

		DOCUMENT NUMBER							PUBLICATIO N DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION	
													YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

AP	M	Tuomas Aura, "Distributed Access-Rights Management With Delegation Certificates", Secure Internet Programming (LNCS), 1999 Springer-Verlag, pp. 1-25.											

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SERIAL NO.  
09/841,732

APPLICANT  
Gunter et al.

**FILING DATE**  
April 25, 2001

GROUP	NUMBER OF STUDENTS	PERCENTAGE OF STUDENTS
Group A	10	10%
Group B	20	20%
Group C	30	30%
Group D	40	40%
Group E	50	50%
Group F	60	60%
Group G	70	70%
Group H	80	80%
Group I	90	90%
Group J	100	100%

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EXAMINE INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AB RD	A	5	6	4	9	0	9	9	07/15/97	Theimer et al.			
	B	6	1	6	1	1	3	9	12/12/00	Win et al.			

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<b>Application Number</b>	09/841,732
<b>Filing Date</b>	April 25, 2001
<b>First Named Inventor</b>	Gunter et al.
<b>Group Art Unit</b>	
<b>Examiner Name</b>	
<b>Attorney Docket Number</b>	053087-5002

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U.S. PATENT DOCUMENTS				
Exr Initials	U.S. Patent Document		Name of Inventor or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
	Number	Kind Code (if known)		
A10 ↓ D10	US-2003/0084296	A1	Kyojima et al.	May 2003
	5,299,263		Beller et al.	March 1994
	US-2001/0053247	A1	Sowinski et al.	December 2001
	US-2002/0016910	A1	Wright et al.	February 2002
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	Country Code	Number	Kind Code (if known)			

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Donald A. Berry

6/14/04

